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CLERK, U.S. DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

BY: *ECL* DEPUTY

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6 Attorneys for Defendant  
PFIZER INC..

7

8 IN THE UNITED STATES DISTRICT COURT  
9 FOR THE SOUTHERN DISTRICT OF CALIFORNIA

FAXED

10 KAYE SCHOLER LLP  
11 JAMES R. HUCK dba HUMAN  
12 RESOURCES INTERNATIONAL,

13 Plaintiff, CASE NO. 08 CV 1277 L AJB

14 v.  
15 PFIZER INC., A DELAWARE  
16 CORPORATION, DOES 1 THROUGH  
17 50, INCLUSIVE,

18 Defendants.

19

20 TO: THE CLERK OF THE COURT:

21 Pursuant to 28 U.S.C. § 1441, defendant PFIZER INC. ("Pfizer") hereby removes this  
22 action, *Huck v. Pfizer Inc., et al.* (CASE NO. 37-2008-00085741-CU-BC-CTL, Superior Court,  
23 County of San Diego, California) to the United States District Court for the Southern District of  
24 California, and alleges as follows:

25 1. On June 20, 2008, plaintiff served this action on Pfizer, and thus, this action is being  
26 timely removed. In the complaint, plaintiff asserts causes of action for Breach of Contract, Fraud  
27

1 and Deceit—Intentional Misrepresentation, Fraud and Deceit—Concealment, Fraud and Deceit—  
2 Negligent Misrepresentation, Breach of Implied Covenant of Good Faith and Fair Dealing, Unjust  
3 Enrichment, Quantum Meruit, Work, Labor and Services Provided, and Unfair Business Practices.  
4

5 **II. JURISDICTIONAL BASIS FOR REMOVAL**

6 2. This Court has diversity jurisdiction over this action pursuant to 28 U.S.C. §  
7 1332(a)(1) because: (1) there is the requisite diversity of citizenship, as plaintiff is not a citizen of  
8 the same state as the named defendant; and (2) the amount in controversy exceeds \$75,000,  
9 exclusive of interest and costs.

10 KAYE SCHOLER LLP  
11 A. **Diversity of Citizenship**

12 3. Plaintiff filed this action against Pfizer, a Delaware Corporation, and Does 1 through  
13 50, inclusive.

14 4. As alleged, plaintiff is a citizen and resident of the State of California. See Compl. at  
15 caption page (Ex. 1). Based on this allegation, Pfizer avers upon information and belief, that  
16 plaintiff is, and at the time of filing of this action was, a citizen and resident of the State of  
17 California.  
18

19 5. Defendant Pfizer is, and at the time of filing of this action was, a corporation existing  
20 under the laws of the State of Delaware, having its principal place of business in the State of New  
21 York.  
22

23 6. Plaintiff also names fictitious (“Doe”) defendants (who have not been substituted  
24 with actual defendants). Pursuant to 28 U.S.C. § 1441(a), “the citizenship of defendants sued under  
25 fictitious names shall be disregarded” in determining whether there is complete diversity for  
26 purposes of removal.  
27

28 7. The requisite diversity of citizenship is therefore satisfied because plaintiff is not a  
29

1 citizen of the same state as the named defendant.

2           **B. Amount in Controversy**

3       8. In the complaint, plaintiff alleges that he suffered damages "exceeding \$867,653.00"  
4 Compl. ¶ 19, page 6, lines 19-20 (Ex. 1).

5       9. Thus, based on the express allegations of the complaint, the requisite amount in  
6 controversy for federal diversity jurisdiction has been satisfied because plaintiff seeks in excess of  
7 \$75,000, exclusive of interest and costs.

8           **III. PROCEDURAL REQUIREMENTS FOR REMOVAL**

9  
10     10. Plaintiff served this action on defendant on June 20, 2008. Thus, this Notice of  
11 Removal is timely under 28 U.S.C. § 1446(b).

12     11. The United States District Court for the Southern District of California embraces the  
13 county in which the state court action was filed, and thus, this Court is a proper venue for this action  
14 pursuant to 28 U.S.C. § 84(d).

15     12. Copies of process and pleadings filed in the State Court are attached to this Notice of  
16 Removal as Ex. 1.

17     13. Defendant Pfizer is filing written notice of this removal with the Clerk of the State  
18 Court in which the action was filed pursuant to 28 U.S.C. § 1446(d). Copies of the Notice of Filing  
19 Notice of Removal, together with this Notice of Removal, are being served upon plaintiff pursuant to  
20 28 U.S.C. § 1446(d).

21     14. If any question arises as to the propriety of the removal of this action, Pfizer requests  
22 the opportunity to brief any disputed issues and to present oral argument in support of their position  
23 that this case is properly removable.

24     //  
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1 WHEREFORE, Pfizer respectfully removes this action from the Superior Court of the State  
2 of California, County of San Diego, to this Court, pursuant to 28 U.S.C. § 1441.

3  
4 DATED: July 16, 2008

KAYE SCHOLER LLP

5  
6 By:   
7

Aton Arbisser  
Attorneys for Defendant  
Pfizer Inc..

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10 KAYE SCHOLER LLP  
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**EXHIBIT** 1

CM-010

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): Thomas F. Landers [SBN 207335] L. Geoffrey Lee [SBN 234024] Solomon Ward Seidenwurm & Smith, LLP 401 B Street, Suite 1200 San Diego, CA 92101 TELEPHONE NO: (619) 231-0303 FAX NO: (619) 231-47568 JUN 13 PM 4:19 ATTORNEY FOR (Name): James R. Huck dba Human Resources International SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Diego STREET ADDRESS: 330 West Broadway MAILING ADDRESS: CITY AND ZIP CODE: San Diego, CA 92101 BRANCH NAME: Central		CIVIL BUSINESS OFFICE 16 CENTRAL DIVISION SAN DIEGO COURT COUNTY: CA
CASE NAME: James R. Huck v. Pfizer, Inc., et al.		CASE NUMBER: 37-2008-00085741-CIV-BC-CTL JUDGE: DEPT:
<b>CIVIL CASE COVER SHEET</b> <input checked="" type="checkbox"/> Unlimited <input type="checkbox"/> Limited (Amount demanded exceeds \$25,000) (Amount demanded is \$25,000 or less)		<b>Complex Case Designation</b> <input type="checkbox"/> Counter <input type="checkbox"/> Joinder Filed with first appearance by defendant (Cal. Rules of Court, rule 3.402)

/Items 1-6 below must be completed (see Instructions on page 2).

## 1. Check one box below for the case type that best describes this case:

Auto Tort

 Auto (22) Uninsured motorist (46)

Other PI/PD/WD (Personal Injury/Property Damage/Wrongful Death) Tort

 Asbestos (04) Product liability (24) Medical malpractice (45) Other PI/PD/WD (23)

Non-PI/PD/WD (Other) Tort

 Business tort/unfair business practice (07) Civil rights (08) Defamation (13) Fraud (16) Intellectual property (18) Professional negligence (25) Other non-PI/PD/WD tort (35)

Employment

 Wrongful termination (36) Other employment (15)

Contract

 Breach of contract/warranty (06) Rule 3.740 collections (09) Other collections (09) Insurance coverage (18) Other contract (37)

Real Property

 Eminent domain/inverse condemnation (14) Wrongful eviction (33) Other real property (26)

Unlawful Detainer

 Commercial (31) Residential (32) Drugs (38)

Judicial Review

 Asset forfeiture (05) Petition re: arbitration award (11) Writ of mandate (02) Other judicial review (39)Provisionally Complex Civil Litigation  
(Cal. Rules of Court, rules 3.400-3.403) Antitrust/Trade regulation (03) Construction defect (10) Mass tort (40) Securities litigation (28) Environmental/Toxic tort (30)  
Insurance coverage claims arising from the above listed provisionally complex case types (41)

Enforcement of Judgment

 Enforcement of judgment (20)

Miscellaneous Civil Complaint

 RICO (27) Other complaint (not specified above) (42)

Miscellaneous Civil Petition

 Partnership and corporate governance (21) Other petition (not specified above) (43)2. This case  is  is not complex under rule 3.400 of the California Rules of Court. If the case is complex, mark the factors requiring exceptional judicial management:

- a.  Large number of separately represented parties d.  Large number of witnesses
- b.  Extensive motion practice raising difficult or novel issues that will be time-consuming to resolve e.  Coordination with related actions pending in one or more courts in other counties, states, or countries, or in a federal court
- c.  Substantial amount of documentary evidence f.  Substantial postjudgment judicial supervision

## 3. Remedies sought (check all that apply):

- a.  monetary b.  nonmonetary; declaratory or injunctive relief c.  punitive

4. Number of causes of action (specify): Nine

5. This case  is  is not a class action suit.

6. If there are any known related cases, file and serve a notice of related case. (You may use form CM-016.)

Date: June 13, 2008

Thomas F. Landers

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY FOR PARTY)

## NOTICE

- Plaintiff must file this cover sheet with the first paper filed in the action or proceeding (except small claims cases or cases filed under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of Court, rule 3.220.) Failure to file may result in sanctions.
- File this cover sheet in addition to any cover sheet required by local court rule.
- If this case is complex under rule 3.400 et seq. of the California Rules of Court, you must serve a copy of this cover sheet on all other parties to the action or proceeding.
- Unless this is a collections case under rule 3.740 or a complex case, this cover sheet will be used for statistical purposes only.



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6 Attorneys for Plaintiff JAMES R. HUCK dba  
HUMAN RESOURCES INTERNATIONAL

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8

9 SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

10 CENTRAL DIVISION

11

12 JAMES R. HUCK dba HUMAN RESOURCES  
INTERNATIONAL,

13 Plaintiff,

14 v.

15 PFIZER, INC., a Delaware Corporation,  
DOES 1 through 50, inclusive,

16 Defendants.

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22 Plaintiff, JAMES R. HUCK dba HUMAN RESOURCES INTERNATIONAL ("Plaintiff"),  
23 alleges:

24

PARTIES AND JURISDICTION

25 1. Plaintiff James R. Huck is, and at all relevant times was, a citizen of the State of  
26 California and a resident of San Diego, California. Plaintiff James R. Huck does business  
27 under the fictitious business name Human Resources International ("HRI").

28

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FILED  
CIVIL DIVISION  
08 JUN 13 PM 4:19

COURT  
SAN DIEGO COUNTY, CA

CASE NO. 37-2008-00085741-CU-BC-CTL

COMPLAINT FOR:

1. BREACH OF CONTRACT;
2. FRAUD AND DECEIT—INTENTIONAL MISREPRESENTATION;
3. FRAUD AND DECEIT—CONCEALMENT;
4. FRAUD AND DECEIT—NEGLIGENT MISREPRESENTATION;
5. BREACH OF IMPLIED COVENANT OF GOOD FAITH AND FAIR DEALING;
6. UNJUST ENRICHMENT;
7. QUANTUM MERUIT;
8. WORK, LABOR, AND SERVICES PROVIDED; AND
9. UNFAIR BUSINESS PRACTICES

COMPLAINT

1       2. Plaintiff is informed and believes that Defendant Pfizer, Inc. ("Defendant") is,  
2 and at all relevant times was, a Corporation organized and existing under the laws of the  
3 State of Delaware with its principal place of business in New York, New York. Plaintiff is  
4 informed and believes that at all relevant times Defendant Pfizer did business within the  
5 State of California and within this judicial district. Plaintiff is further informed and believes  
6 that at all relevant times Defendant did business using the names Pfizer Global  
7 Pharmaceuticals ("PGP"), Pfizer Pharmaceutical Group ("PPG"), and Worldwide  
8 Pharmaceutical Organization ("WPO").

9       3. Plaintiff is ignorant of the true names or capacities of Defendants sued herein  
10 as Does 1 through 50 and therefore sues these Defendants by such fictitious names. Plaintiff  
11 will amend this Complaint to allege the true names and capacities of these Defendants when  
12 they are ascertained. Plaintiff is informed and believes and based herein alleges that such  
13 Defendants are liable, along with other named Defendants, for the damages alleged herein.

14       4. On information and belief, Plaintiff alleges that all times relevant to this  
15 Complaint, each of the Defendants named herein (including, without limitation, Does 1  
16 through 50) was an agent, partner, officer, parent, subsidiary, member, fiduciary, co-  
17 conspirator or employee of each of the other Defendants, and was acting within the course  
18 and scope of said agency, partnership, conspiracy, or employment in doing the things  
19 complained of herein.

20       5. This action is not subject to the provisions of California Civil Code Sections  
21 2981 et seq., or 1801.

22       6. Venue is proper in this court because: (1) A substantial portion of the acts  
23 complained of herein occurred within the geographical boundaries of this Court's  
24 jurisdiction; (2) Plaintiff resides, and was injured, within the geographical boundaries of this  
25 Court's jurisdiction; and (3) The agreements at issue were negotiated, entered into, and were  
26 partially performed within the geographical boundaries of this Court's jurisdiction.

27                   **ALLEGATIONS COMMON TO ALL CAUSES OF ACTION**

28       7. Plaintiff James R. Huck holds a Ph.D. in organizational psychology. Through

1 HRI, Dr. Huck provides consulting services to various corporate entities in the area of  
 2 human resources and leadership assessment and development. Dr. Huck is one of the early  
 3 pioneers in the assessment center methodology and has worked in the field since 1970.

4       8. In approximately 1972 and in subsequent years thereafter, Dr. Huck created,  
 5 wrote, and copyrighted an original set of managerial and leadership assessment materials  
 6 and processes (the "Leadership Lab" and "In Basket"), designed to measure managerial and  
 7 leadership competencies and skills, and provides a process for managers to improve and  
 8 track improvements in their leadership development. Because these materials and processes  
 9 provide a unique system for organizing and evaluating the wide range of managerial and  
 10 leadership behaviors, these assessment materials and processes are the cornerstone of Dr.  
 11 Huck and HRI's consulting and coaching practice.

12       9. In San Diego in 2001, Plaintiff began his contractual relationship with  
 13 Defendant whereby Plaintiff provided his human resources assessment and development  
 14 consulting services to Defendants through 2005. These services were called the Leadership  
 15 Lab Process. Through the Leadership Lab Process, Plaintiff and his staff would administer,  
 16 evaluate, and consolidate results of 360 degree surveys (Management Practices Survey ©)  
 17 and other assessment methodologies to Defendants' senior management personnel stationed  
 18 in various Asian and Asia Pacific countries, Australia, and Middle Eastern countries, all  
 19 comprising Pfizer's Asia Region. The results or data and interpretation of those evaluative  
 20 surveys, which were strictly confidential, permitted Plaintiff and his staff to advise, counsel,  
 21 and coach Defendant and its senior management personnel in the Asia Region on how to  
 22 develop and enhance their management and leadership skills and efficiency. The  
 23 Leadership Lab process also includes follow-up coaching, follow-up surveys, make-up  
 24 programs, and corresponding assessment and consultation services, both on an individual  
 25 and country-specific team basis. All of these services will collectively be referred to herein  
 26 as the "Leadership Lab" Process.

27       10. Under their Contract, Dr. Huck also provided his "In Basket" (copyrighted Am-  
 28 Pro Inc. under Innovative Management Systems) assessment and consulting services as a

1 separate selection instrument, behaviorally and objectively measuring 16 managerial and  
 2 leadership competencies, such as problem analysis, organizing and planning, delegation,  
 3 initiative, forcefulness, persuasiveness. Assessments on the In Basket allow a company to  
 4 increase the objectivity, reliability and validity of its selection process.

5       11. At all relevant times, Plaintiff's principal office and the offices of HRI's staff  
 6 was located in San Diego, and Plaintiff administered HRI, the Leadership Lab Process and  
 7 the In Basket through its San Diego Headquarters. All evaluative reports for the Leadership  
 8 Lab Process, the 360 degree survey, and the In-Basket were prepared in San Diego,  
 9 California. Further, Dr. Huck negotiated and entered the contractual relationship with  
 10 Defendant in San Diego and hired additional staff in San Diego to specifically meet  
 11 Defendant's needs.

12       12. When Plaintiff began his contractual relationship with Defendant, the  
 13 compensation terms were that Defendant would pay Plaintiff a retainer of \$15,000 per  
 14 quarter—\$60,000 per year—and Defendant would pay an agreed-to sum for each Leadership  
 15 Lab Process, follow-up costs, plus the costs and expenses Plaintiff incurred in conducting the  
 16 Leadership Lab Process, including, but not limited to, travel and living expenses. Eventually,  
 17 Defendant agreed to increase the retainer to \$30,000 per quarter or \$120,000 per year, plus  
 18 the fixed price for each Leadership Lab Process conducted for management and leadership  
 19 development of Defendants' key management personnel, and also a fixed fee for In Baskets  
 20 when used as a selection tool. The Leadership Lab Process requires re-surveys every two  
 21 years for which Defendant agreed to continue and to pay. Additionally, Defendant agreed  
 22 to pay for re-surveys every two years, and make-up Leadership Labs Processes for all  
 23 employees hired or promoted to a specific senior management level.

24       13. When Dr. Huck began this contractual relationship with Defendant in 2001,  
 25 Dr. Huck was to provide or implement the Leadership Lab Process with two Southeast Asian  
 26 countries, and shortly thereafter a Leadership Lab for all Asia Region Country Managers.  
 27 Towards the end of 2001, Defendant requested that Dr. Huck implement these ongoing  
 28 Leadership Lab Programs and Processes for the remaining Asia Region countries, eventually

1 all 11 Asia Region countries, Asia Region Country Managers, and Asia Region Director level  
 2 staff and Pacific Countries, which Dr. Huck and his staff did. In addition, at the Defendant's  
 3 request, the Leadership Lab Process was also cascaded down beyond the senior level for  
 4 specific groups in several countries. Ultimately, Dr. Huck evaluated, assessed, advised,  
 5 counseled, and coached over 150 Pfizer key managers on their personal development and  
 6 leadership effectiveness.

7 14. As alleged in greater detail below, Defendants induced Plaintiff to provide the  
 8 Leadership Lab Process and In Basket Assessments exclusively for Defendant, induced  
 9 Plaintiff to hire additional staff to service Defendants' expanding needs, and induced Plaintiff  
 10 to terminate his other consulting relationships and not pursue additional consulting  
 11 relationships so that he could provide his services exclusively to Defendants to meet its  
 12 demands. Defendant agreed to pay Plaintiff not only for the Leadership Labs and In Basket  
 13 Assessments, but also for his and his staff's travel, costs of living, and other expenses  
 14 required to carry out these services. Ultimately, Defendants refused, and continue to refuse,  
 15 to pay Plaintiff for fees and services he rendered and expenses he and his company incurred.

#### FIRST CAUSE OF ACTION

##### (Breach of Contract Against all Defendants)

16 15. Plaintiff realleges and incorporates Paragraph 1 through 14, above.

17 16. Plaintiff and Defendant entered into a written contract whereby Defendant  
 18 agreed to pay Plaintiff \$30,000 per quarter—or \$120,000 per year—as a retainer for Plaintiff  
 19 to sustain the Leadership Lab Process with Defendants, plus pay for additional services and  
 20 costs as alleged below. This Agreement is memorialized in several writings, including  
 21 without limitation, a June 18, 2003 e-mail between Dudley Schleier, Defendants' then-Asia  
 22 Regional President and Dr. Huck, an August 3, 2004 letter agreement signed by Alan Taylor,  
 23 Defendants' then-Asia Regional President, and an October 21, 2004 letter agreement also  
 24 from Alan Taylor. In addition to the \$120,000 per year retainer, Defendant was obligated to  
 25 pay an agreed sum for each Leadership Lab Process implemented, In Basket Assessments  
 26 and reports, plus Plaintiff's expenses and costs related to these processes. In the August 3,  
 27

1 2004 letter agreement, Alan Taylor—on behalf of Defendant—obligated Defendant to  
2 continue paying Plaintiff his \$30,000 per quarter retainer plus agreed service costs for  
3 conducting and sustaining the Leadership Lab Processes as well as any follow-up services  
4 until such time as the parties executed a new written contact. The parties never executed a  
5 new written contract. In the October 21, 2004 letter agreement, Defendant Pfizer through  
6 Mr. Taylor confirmed its agreement that Dr. Huck would provide an additional two  
7 Leadership Labs and would conduct follow-up 360 degree surveys for all past Leadership  
8 Lab participants every two years.

9        17. Plaintiff has performed all duties, obligations and responsibilities on his part to  
10 be performed pursuant to the agreement, except those which have been excused, waived or  
11 otherwise released and those obligations which have not yet arisen.

12        18. In the past four years, Defendant has materially breached the terms of the  
13 agreement by failing and refusing, *inter alia*, to: (1) pay Plaintiff's his \$30,000 per quarter—  
14 \$120,000 per year—retainer; (2) pay Plaintiff the agreed service costs for conducting the  
15 Leadership Lab Processes and In Basket Assessment; (3) pay Plaintiff's incurred expenses and  
16 costs; (4) schedule approved follow-up and make-up programs; and (5) to pay for approved  
17 follow-up and make-up programs and their attendant expenses and costs.

18        19. As a direct and proximate result of Defendant's breaches, Plaintiff has been  
19        damaged in an amount to be quantified and proven at or before trial, but exceeding  
20        \$867,653.00.

21        20. As a further direct and proximate result of Defendant's breaches, Plaintiff has  
22 suffered, and will continue to suffer, consequential damages in an amount to be quantified  
23 and proven at or before trial, but exceeding this Court's jurisdictional limit.

## **SECOND CAUSE OF ACTION**

25 (Fraud and Deceit—Intentional Misrepresentation Against all Defendants)

26 | 21. Plaintiff realleges and incorporates Paragraph 1 through 20, above.

27        22. Beginning in 2001, Defendant's then-Asia Regional President Dudley Schleier  
28 hired Dr. Huck to provide his Leadership Lab Process and In Basket Assessment to

1 Defendant. Since the Leadership Lab programs and processes achieved great success and  
 2 were widely recognized within Defendant's corporate structure, Mr. Schleier in  
 3 November 2001 requested Dr. Huck and HRI devote their full resources to working for  
 4 Defendant Pfizer, and implement the Leadership Lab process throughout the countries and  
 5 Regional staff in the Pfizer's Asia Region. This meant that Dr. Huck and HRI had to  
 6 terminate his/their existing consulting relationships with other clients and that Dr. Huck did  
 7 not pursue any new consulting relationships with clients other than Defendant. On behalf of  
 8 Defendant, then-Asia Regional President Dudley Schleier contracted with Dr. Huck to  
 9 provide the Leadership Lab Process and In Basket Assessment to 11 countries located in Asia  
 10 and Asia Pacific, Australia, and the Middle East, including regular market visits for  
 11 sustainability, and providing 360 degree follow-up surveys every two years.

12       23. In 2004, Defendant promoted Dudley Schleier to a more senior position in  
 13 New York, and Alan Taylor took over as his successor, as Asia Regional President. Acting  
 14 on behalf of Defendant Pfizer, Mr. Taylor assured Dr. Huck both orally and in writing that  
 15 Defendant would continue to employ him and HRI exclusively to provide his Leadership  
 16 Lab Process and In Basket Assessment procedures, plus Leadership Lab make-up processes  
 17 as required, and 360 degree follow-up surveys every two years consistent with the  
 18 Leadership Lab and Defendant Pfizer's Talent Management Process. Mr. Taylor's  
 19 representations on behalf of Defendant are evidenced by, *inter alia*, his August 3, 2004 letter  
 20 agreement and October 21, 2004 letter agreement. In conjunction with these  
 21 representations, Alan Taylor assigned Pfizer representatives Paul Thomas—who was the  
 22 Human Resources Director for the Asia Region—and John Hoeft—who was the Director of  
 23 Sales Training, Field Force Effectiveness for Asia and Japan to meet with Dr. Huck to discuss  
 24 the future of the Leadership Lab Process and In Basket Assessment at Pfizer. During a  
 25 March 24, 2004 meeting, Mr. Hoeft and Mr. Thomas and Mr. Taylor via telephone  
 26 conferencing into this meeting, on behalf of Defendant Pfizer, represented to Dr. Huck that  
 27 the Leadership Lab Process, follow-up 360 degree surveys to all past participants every two  
 28 years, and In Basket Assessment would continue the same course of conduct as it had for the

1 previous several years, that Pfizer would continue to pay Dr. Huck and HRI to provide  
 2 his/their Leadership Lab programs and services throughout the Asia Region, that the  
 3 Leadership Lab process would be expanded and make-up Leadership Labs scheduled as  
 4 needed, that Pfizer required Dr. Huck and HRI to devote his/their full attention, current  
 5 resources, and additional HRI resources to administering the Leadership Lab Process and In  
 6 Basket Assessment procedures to Pfizer's management personnel, and that Pfizer would  
 7 continue to pay Dr. Huck his retainer, agreed service charges and costs including follow-up  
 8 360 degree surveys and make-up programs as required every two years, along with travel  
 9 and cost-of-living expenses. In a July 2004 conversation, Paul Thomas repeated these  
 10 representations to Dr. Huck assured him that the Asia Region would continue to utilize the  
 11 Leadership Lab Process and Pfizer would continue to pay Dr. Huck. During a January 21,  
 12 2005 conversation, Paul Thomas, on behalf of Pfizer, again repeated the above  
 13 representations and assured Dr. Huck that Pfizer would continue to pay him to implement  
 14 his Leadership Lab Process and In Basket Assessment plus follow-up and make-up surveys  
 15 and compensate him for attendant costs. Paul Thomas, John Hoeft and Alan Taylor made  
 16 other representations both oral and written to Dr. Huck along these same lines. All of these  
 17 representations will be collectively referred to as the "Representations." Plaintiff is informed  
 18 and believes that Alan Taylor, John Hoeft and Paul Thomas, were authorized by Pfizer to  
 19 speak on its behalf, specifically to speak with Dr. Huck and to make the Representations,  
 20 and did so with Pfizer's knowledge and ratification within the course and scope of their  
 21 responsibilities at Pfizer.

22       24. In fact, the Representations were false, and Dr. Huck only discovered their  
 23 falsity within the past three years.

24       25. As alleged above, Defendants made oral and written Representations of  
 25 material fact to Dr. Huck during the course of their business relationship.

26       26. These Representations were false.

27       27. Defendant Pfizer, through its authorized representatives Alan Taylor, John  
 28 Hoeft and Paul Thomas, knew the Representations were false when they made them or

1 knew they were making those Representations recklessly, without knowing whether they  
2 were true or false.

3       28. Defendant Pfizer, through its authorized representatives Alan Taylor, John  
4 Hoeft and Paul Thomas, made the Representations with an intent to defraud and deceive  
5 Dr. Huck, that is, for the purposes of inducing Dr. Huck to rely on them and to act or to  
6 refrain from acting in reliance on those Representations.

7       29. Dr. Huck is informed and believes that the true facts were: Pfizer, through its  
8 authorized representatives, had no intention of continuing Dr. Huck's Leadership Lab  
9 Process, make-up Leadership Labs and re-surveys and In Basket Assessment; that Pfizer  
10 through its authorized representatives had no intention of compensating Dr. Huck for the  
11 agreed-upon Leadership Lab Process and In Basket Assessment both he actually provided  
12 and Pfizer requested he provide; and Defendant Pfizer did not require Dr. Huck to devote  
13 his full attention and resources to servicing Pfizer's needs to the exclusion of Dr. Huck's  
14 existing and potential clients and business opportunities.

15       30. Defendant Pfizer through its authorized representatives Alan Taylor, John  
16 Hoeft and Paul Thomas knew that Dr. Huck did not know and could not reasonably  
17 discover the true facts.

18       31. At all times Defendant Pfizer, through it authorized representatives Alan  
19 Taylor, John Hoeft and Paul Thomas, was making the Representations alleged, Dr. Huck was  
20 unaware of the falsity of the Representations and believed them to be true.

21       32. Dr. Huck relied on these Representations and was induced to, and in reliance  
22 on, and as a result of the Representations did, expend substantial resources and sums of  
23 money, including but not limited to hiring additional staff to service Defendant Pfizer's  
24 exclusive needs, provided Defendant Pfizer Leadership Lab Processes and In Basket  
25 Assessments, along with make-up and follow-up surveys, incurred extensive travel and  
26 accommodation expenses all in a sum exceeding \$867,653.00.

27       33. Dr. Huck reasonably and justifiably relied on Defendant Pfizer's  
28 Representations of material fact when he provided his Leadership Lab Processes and In

1      Basket Assessments, follow-up and make-up surveys, incurred travel and accommodation  
 2      expenses, hired additional staff, terminated relationships with other existing clients and  
 3      declined to pursue additional clients at Pfizer's insistence.

4      34. Had Dr. Huck known the true facts, he would not have continued to provide  
 5      his Leadership Lab Processes and In Basket Assessments, follow-up and make-up surveys,  
 6      incurred substantial travel and accommodation expense, hired additional staff, and declined  
 7      to pursue other clients.

8      35. As a direct and proximate result of Defendant Pfizer's intentional  
 9      Representations through its authorized representatives Alan Taylor, John Hoeft and Paul  
 10     Thomas, Dr. Huck has suffered money damages, and will continue to suffer compensatory,  
 11     general and special damages, including without limitation, an amount exceeding  
 12     \$867,653.00 subject to proof at trial.

13     36. Defendant Pfizer's conduct, through its authorized representatives Alan  
 14     Taylor, John Hoeft and Paul Thomas, was intended to cause injury to Dr. Huck, and was  
 15     oppressive, fraudulent and malicious conduct as defined in California Civil Code Section  
 16     3294, entitling Dr. Huck to exemplary and punitive damages according to proof.

### THIRD CAUSE OF ACTION

#### (Fraud and Deceit—Concealment Against all Defendants)

18     37. Plaintiff realleges and incorporates Paragraph 1 through 36, above.

19     38. As alleged above, Defendant Pfizer through its authorized representatives Alan  
 20     Taylor, John Hoeft and Paul Thomas made oral and written Representations of material fact  
 21     to Dr. Huck, including but not limited to, the Representations alleged above that Pfizer  
 22     would continue the Leadership Lab Processes and In Basket Assessments, the follow-up and  
 23     make-up surveys, and would continue to compensate Dr. Huck for the same and his  
 24     incurred costs and travel and living expenses.

25     39. Those Representations were false.

26     40. Defendant Pfizer through its authorized representatives Alan Taylor, John  
 27     Hoeft and Paul Thomas knew the Representations were false when it made them, or knew it

1 was making those Representations recklessly without knowing whether they were true or  
2 false.

3       41. Defendants Pfizer through its authorized representatives made the  
4 Representations when the intent to defraud and deceive Dr. Huck, that is, for the purposes  
5 of inducing Dr. Huck to rely upon them and to act or to refrain from acting in reliance on  
6 those Representations.

7       42. Dr. Huck is informed and believes that the true facts were: Pfizer, through its  
8 authorized representatives, had no intention of continuing Dr. Huck's Leadership Lab  
9 Process, make-up Leadership Labs and re-surveys and In Basket Assessment; that Pfizer  
10 through its authorized representatives had no intention of compensating Dr. Huck for the  
11 agreed-upon Leadership Lab Process and In Basket Assessment both he actually provided  
12 and Pfizer requested he provide; and Defendant Pfizer did not require Dr. Huck to devote  
13 his full attention and resources to servicing Pfizer's needs to the exclusion of Dr. Huck's  
14 existing and potential clients and business opportunities.

15       43. Defendant Pfizer through its authorized representatives Alan Taylor, John  
16 Hoeft and Paul Thomas concealed the true facts from Dr. Huck and instead made the false  
17 Representations of facts alleged herein.

18       44. Defendant Pfizer through its authorized representatives Alan Taylor, John  
19 Hoeft and Paul Thomas concealed the true facts with an intent to defraud and deceive  
20 Dr. Huck; that is, for the purpose of inducing Dr. Huck to rely upon them and to act or to  
21 refrain from acting in reliance on the Representations Defendant Pfizer's authorized  
22 representatives Alan Taylor, John Hoeft and Paul Thomas made, which were not consistent  
23 with the concealed, true facts.

24       45. At all times Defendant Pfizer through its authorized representatives Alan  
25 Taylor, John Hoeft and Paul Thomas was making the Representations alleged, Dr. Huck was  
26 unaware of the concealed, true facts.

27       46. Defendant Pfizer through its authorized representatives Alan Taylor, John  
28 Hoeft and Paul Thomas knew that Dr. Huck did not know and could not reasonably

1 discover the concealed, true facts. Indeed, Dr. Huck only discovered the falsity of  
 2 Defendant Pfizer's false Representations and the concealed, true facts within the past three  
 3 years.

4       47. Because of Defendant Pfizer's possession of material facts which only it,  
 5 through its authorized representatives Alan Taylor, John Hoeft and Paul Thomas, knew and  
 6 which they knew that Dr. Huck did not know, and because of Defendant Pfizer's knowledge  
 7 that these facts were material to Dr. Huck, Defendant Pfizer had a duty to disclose these  
 8 facts to Dr. Huck in light of their ongoing business relationship.

9       48. In engaging in the conduct alleged, Defendant Pfizer through its authorized  
 10 representatives Alan Taylor, John Hoeft and Paul Thomas further suppressed facts that it was  
 11 bound to disclose while providing other "facts" to Dr. Huck that were likely to mislead  
 12 Dr. Huck in light of the suppressed facts.

13       49. Defendant Pfizer owed Dr. Huck a duty of full disclosure, honesty, and  
 14 candor, as well as the duty to exercise reasonable care and to make a reasonable and  
 15 diligent investigation of the Representations its authorized representatives made to Dr. Huck.

16       50. Dr. Huck relied upon Defendant Pfizer's version of the "facts" which  
 17 concealed the true facts and instead consisted of false representations of material fact.  
 18 Dr. Huck was induced to, and in reliance thereon, expended substantial resources and sums  
 19 of money including without limitation, hiring additional staff to service Defendant Pfizer's  
 20 exclusive needs, provided Defendant Pfizer Leadership Lab Processes and In Basket  
 21 Assessments, along with make-up and follow-up surveys, incurred extensive travel and  
 22 accommodation expenses all in a sum exceeding \$867,653.00.

23       51. Had Dr. Huck known the true facts, he would not have continued to provide  
 24 his Leadership Lab Processes and In Basket Assessments, follow-up and make-up surveys,  
 25 incurred substantial travel and accommodation expense, hired additional staff, and declined  
 26 to pursue other clients.

27       52. As a direct and proximate result of Defendant Pfizer's concealment of the true  
 28 facts, through its authorized representatives Alan Taylor, John Hoeft and Paul Thomas,

1 Dr. Huck has suffered, and will continue to suffer compensatory, general and special  
2 damages including without limitation, an amount exceeding \$867,653.00 subject to proof at  
3 trial.

4 53. Defendant Pfizer's conduct, through its authorized representatives Alan  
5 Taylor, John Hoeft and Paul Thomas, was intended to cause injury to Dr. Huck, and was  
6 oppressive, fraudulent and malicious conduct as defined in California Civil Code Section  
7 3294, entitling Dr. Huck to exemplary and punitive damages according to proof.

#### FOURTH CAUSE OF ACTION

8 (Fraud and Deceit—Negligent Misrepresentation Against all Defendants)

9 54. Plaintiff realleges and incorporates Paragraph 1 through 53, above.

10 55. Defendant Pfizer through its authorized representatives Alan Taylor, John  
11 Hoeft and Paul Thomas made the Representations to Dr. Huck about existing material facts,  
12 set forth above.

13 56. Those Representations were false, but Dr. Huck only discovered their falsity  
14 within the past three years.

15 57. In making the Representations and omitting to stating material facts, Defendant  
16 Pfizer through its authorized representatives Alan Taylor, John Hoeft and Paul Thomas acted  
17 negligently in that it made those Representations without a reasonable ground for believing  
18 them to be true, and did not exercise due care in investigating to determine the existence of  
19 the material facts admitted.

20 58. In engaging the conduct alleged, Defendant Pfizer through its authorized  
21 representatives Alan Taylor, John Hoeft and Paul Thomas made the Representations and  
22 statements of material fact and omitted to state material facts necessary to make statements  
23 that they made, in light of the circumstances in which they were made, not misleading, and  
24 necessary to be stated in order that Dr. Huck would be informed of all material facts  
25 necessary for him to make an informed decision as to whether to continue providing  
26 Defendant Pfizer his Leadership Lab Process and in Basket Assessment, follow-up and make-  
27 up surveys, incurring costs including travel and living expenses, hiring additional staff, and  
28 up surveys, incurring costs including travel and living expenses, hiring additional staff, and

1 foregoing other clients. Pfizer, through its authorized representatives Alan Taylor, John  
2 Hoeft and Paul Thomas made such Representations and statements with the intent to induce  
3 Dr. Huck to rely on them.

4        59. At the time of the Representations, statements, and omissions, Dr. Huck was  
5 unaware of the falsity and misleading nature of those representations and statements, and  
6 unaware that there had been omissions of material fact. Dr. Huck justifiably relied on those  
7 representations and statements, justifiably believed that there were no omissions of material  
8 facts, and reasonably and justifiably relied thereon. As a result, Dr. Huck was induced to,  
9 and in reliance thereon, expended substantial resources and sums of money including  
10 without limitation, hiring additional staff to service Defendant Pfizer's exclusive needs,  
11 provided Defendant Pfizer Leadership Lab Processes and In Basket Assessments, along with  
12 make-up and follow-up surveys, incurred extensive travel and accommodation expenses all  
13 in a sum exceeding \$867,653.00.

14       60. As a direct and proximate result of Defendant Pfizer's misrepresentations and  
15 omissions alleged, Dr. Huck has suffered money damages and will continue to suffer  
16 compensatory, general and special damages, including without limitation, an amount  
17 exceeding \$867,653.00 subject to proof at trial.

## **FIFTH CAUSE OF ACTION**

**(Breach of Implied Covenant of Good Faith and Fair Dealing Against all Defendants)**

20 . 61. Plaintiff realleges and incorporates Paragraph 1 through 60, above.

**21** 62. Every contract includes an implied covenant of good faith and fair dealing.

22 Defendant Pfizer, through its authorized representatives Alan Taylor, John Hoeft and Paul  
23 Thomas, breached the implied covenant of good faith and fair dealing by, *inter alia*, refusing  
24 to respond to Dr. Huck's proposals regarding the Leadership Lab Process and In Basket  
25 Assessment, refusing to participate in the necessary surveys involved in the Leadership Lab  
26 Process and In Basket Assessment, refusing to schedule approved Leadership Lab Processes  
27 and In Basket Assessments, delaying in responding to Dr. Huck's attempt to schedule  
28 approved Leadership Lab Processes and In Basket Assessments, failing to communicate with

1 Dr. Huck, and generally sabotaging Dr. Huck's efforts to implement the Leadership Lab  
2 Processes and In Basket Assessment thereby frustrating the purpose of Dr. Huck's contract  
3 with Defendant Pfizer.

4 63. As a direct and proximate result of Defendant Pfizer's actions through its  
5 authorized representatives Alan Taylor, John Hoeft and Paul Thomas, Dr. Huck has been  
6 damaged in an amount to be quantified at or before trial but exceeding \$867,653.00

7 **SIXTH CAUSE OF ACTION**

8 **(Unjust Enrichment Against All Defendants)**

9 64. Plaintiff realleges and incorporates by reference paragraphs 1 through 63,  
10 above.

11 65. Defendants enjoyed the benefits of Plaintiff's Leadership Lab Processes and In  
12 Basket Assessment without paying for those services and the attendant costs and expenses.

13 66. Plaintiff conferred benefits on Defendants when he provided the Leadership  
14 Labs, and Plaintiff did not intend to confer such benefits gratuitously.

15 67. Plaintiff has been damaged in the amount of the unpaid balance of  
16 \$867,653.00.

17 68. Plaintiff has been damaged in the principal sum \$867,653.00 for Defendant's  
18 failure to pay the balance due for Plaintiff's consulting services, including the Leadership  
19 Labs, in a timely manner, plus interest at the highest rate allowed by law.

20 **SEVENTH CAUSE OF ACTION**

21 **(Quantum Meruit—Against All Defendants)**

22 69. Plaintiff realleges and incorporates herein paragraphs 1 through 68, above.

23 70. Within the past four years, Plaintiff performed various consulting services,  
24 including the Leadership Labs, at the specific written and oral request of Defendants and  
25 DOES 1 through 50, and Defendants knew that these services were being provided by  
26 Plaintiff and promised to pay their reasonable value plus the attendant costs and expenses.  
27 Defendants accepted, used, and enjoyed the services provided by Plaintiff. Defendants  
28 thereby agreed to pay for the reasonable value of consulting services provided by Plaintiff.

1       71. Plaintiff has demanded payment from Defendants for the reasonable value of  
2 the consulting services, including the Leadership Labs and the attendant costs and expenses,  
3 provided by Plaintiff and his staff. Defendants have refused, and continue to refuse,  
4 Plaintiff's demand for payment.

5       72. The fair and reasonable value of the consulting services, including the  
6 Leadership Labs, provided by Plaintiff, together with costs, expenses and interest exceeds  
7 \$867,653.00 and will be proven at or before trial.

8       73. As a direct and proximate result of Defendants refusal to pay, Plaintiff has  
9 been damaged in an amount exceeding \$867,653.00, together with interest at the maximum  
10 allowable rate.

#### EIGHTH CAUSE OF ACTION

12                   (Work, Labor, and Services Provided—Against All Defendants)

13       74. Plaintiff realleges and incorporates Paragraph 1 through 73, above.

14       75. Within the last four years, Defendants became indebted to Plaintiff in the  
15 agreed sum of \$867,653.00 for work, labor, and services performed by Plaintiff for  
16 Defendants and at the special request of Defendants.

17       76. Plaintiff has demanded that Defendants pay for the work, labor and services  
18 Plaintiff provided, but Defendants refused, and continue to refuse.  
19 As a direct and proximate result of Defendants refusal to pay, Plaintiff has been damaged in  
20 an amount exceeding \$867,653.00, together with interest at the maximum allowable rate.

#### NINTH CAUSE OF ACTION

22                   (Unfair Business Practices—Against all Defendants)

23       77. Plaintiff realleges and incorporates by reference paragraphs 1 through 76,  
24 above.

25       78. By its conduct, Defendant has engaged in unfair business practices in violation  
26 of California Business & Professions Code Section 17200 et seq.

27       79. Defendant's conduct proximately injured Plaintiff in an amount that is not  
28 presently known but which will exceed \$867,653.00, according to proof.

1       80. As a direct and proximate result of Defendant's violation of California Business  
2 & Professions Code Section 17200 et seq., Plaintiff is entitled to restitutionary damages  
3 according to proof, but at least in an amount that exceeds \$867,653.00.

## **PRAYER**

4  
5       Wherefore, Plaintiff prays for judgment against Defendants, and each of them, as  
6 follows:

**ON THE FIRST AND FIFTH CAUSES OF ACTION AGAINST ALL DEFENDANTS**

- 7 UN THE FIRST AND TWENTY-  
8 1. For general, special and consequential damages in an amount not less than  
9 \$867,653.00, plus interest, according to proof;

ON THE SECOND, THIRD, AND FOURTH CAUSES OF ACTION AGAINST ALL DEFENDANTS



ON THE SIXTH CAUSE OF ACTION AGAINST ALL DEFENDANTS

- 15 ON THE SIXTY EASIDE OF NOVEMBER TWENTY-THREE, NINETEEN HUNDRED EIGHTY-EIGHT  
16 1. For the principal sum in an amount to be established at trial, but estimated at  
17 this time to be in excess of \$867,653.00.

ON THE SEVENTH CAUSE OF ACTION AGAINST ALL DEFENDANTS

- 18 ON THE SEVENTH CAUSE OF ACTION  
19 1. For compensation for the reasonable value of services performed in an amount  
20 not less than \$867,653.00 subject to proof at trial.

ON THE EIGHTH CAUSE OF ACTION AGAINST ALL DEFENDANTS

1. For the principal sum in an amount to be established at trial, but estimated at  
this time to be in excess of \$676,653.00;

ON THE NINTH CAUSE OF ACTION AGAINST ALL DEFENDANTS

- 24 ON THE NINTH CAUSE OF ACTION AGAINST ALL DEFENDANTS  
25 1. For restitutionary damages in an amount to be proven, but exceeding  
26 \$867,653.00

26 \$867,653.00.  
27 ON ALL CAUSES OF ACTION AGAINST ALL DEFENDANTS

- 27 ON ALL CAUSES OF ACTION AGAINST ALL DEFENDANTS  
28 1 For attorneys' fees and costs pursuant to contract and/or statute;

2. Interest thereon at the maximum rate allowed by law;
  3. For costs of suit incurred herein;
  4. For such other and further relief as the Court deems just and proper.

DATED: June 13, 2008

~~SOLOMON WARD SEIDENWURM & SMITH, LLP~~

B

~~THOMAS F. LANDERS  
GEOFFREY LEE~~

E. GEOFFREY LE

Attorneys for Plaintiff JAMES R. HUCK dba  
HUMAN RESOURCES INTERNATIONAL

P:00429474.7:87016.002

-18-



**SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO**

CASE NUMBER: 37-2008-00085741-CU-BC-CTL CASE TITLE: Huck vs. Pfizer, Inc

**NOTICE TO LITIGANTS/ADR INFORMATION PACKAGE**

You are required to serve a copy of this Notice to Litigants/ADR Information Package and a copy of the blank Stipulation to Alternative Dispute Resolution Process (received from the Civil Business Office at the time of filing) with a copy of the Summons and Complaint on all defendants in accordance with San Diego Superior Court Rule 2.1.5, Division II and CRC Rule 201.9.

**ADR POLICY**

It is the policy of the San Diego Superior Court to strongly support the use of Alternative Dispute Resolution ("ADR") in all general civil cases. The court has long recognized the value of early case management intervention and the use of alternative dispute resolution options for amenable and eligible cases. The use of ADR will be discussed at all Case Management Conferences. It is the court's expectation that litigants will utilize some form of ADR - i.e. the court's mediation or arbitration programs or other available private ADR options as a mechanism for case settlement before trial.

**ADR OPTIONS**

**1) CIVIL MEDIATION PROGRAM:** The San Diego Superior Court Civil Mediation Program is designed to assist parties with the early resolution of their dispute. All general civil independent calendar cases, including construction defect, complex and eminent domain cases are eligible to participate in the program. Limited civil collection cases are not eligible at this time. San Diego Superior Court Local Rule 2.31, Division II addresses this program specifically. Mediation is a non-binding process in which a trained mediator: 1) facilitates communication between disputants; and 2) assists parties in reaching a mutually acceptable resolution of all or part of their dispute. In this process, the mediator carefully explores not only the relevant evidence and law, but also the parties' underlying interests, needs and priorities. The mediator is not the decision-maker and will not resolve the dispute - the parties do. Mediation is a flexible, informal and confidential process that is less stressful than a formalized trial. It can also save time and money, allow for greater client participation and allow for more flexibility in creating a resolution.

**Assignment to Mediation, Cost and Timelines:** Parties may stipulate to mediation at any time up to the CMC or may stipulate to mediation at the CMC. Mediator fees and expenses are split equally by the parties, unless otherwise agreed. Mediators on the court's approved panel have agreed to the court's payment schedule for county-referred mediation: \$150.00 per hour for each of the first two hours and their individual rate per hour thereafter. Parties may select any mediator; however, the court maintains a panel of court-approved mediators who have satisfied panel requirements and who must adhere to ethical standards. All court-approved mediator fees and other policies are listed in the Mediator Directory at each court location to assist parties with selection. **Discovery:** Parties do not need to conduct full discovery in the case before mediation is considered, utilized or referred. **Attendance at Mediation:** Trial counsel, parties and all persons with full authority to settle the case must personally attend the mediation, unless excused by the court for good cause.

**2) JUDICIAL ARBITRATION:** Judicial Arbitration is a binding or non-binding process where an arbitrator applies the law to the facts of the case and issues an award. The goal of judicial arbitration is to provide parties with an adjudication that is earlier, faster, less formal and less expensive than trial. The arbitrator's award may either become the judgment in the case if all parties accept or if no trial de novo is requested within the required time. Either party may reject the award and request a trial de novo before the assigned judge if the arbitration was non-binding. If a trial de novo is requested, the trial will usually be scheduled within a year of the filing date.

**Assignment to Arbitration, Cost and Timelines:** Parties may stipulate to binding or non-binding judicial arbitration or the Judge may order the matter to arbitration at the case management conference, held approximately 150 days after filing, if a case is valued at under \$50,000 and is "at issue". The court maintains a panel of approved judicial arbitrators who have practiced law for a minimum of five years and who have a certain amount of trial and/or arbitration experience. In addition, if parties select an arbitrator from the court's panel, the court will pay the arbitrator's fees. Superior Court

**3) SETTLEMENT CONFERENCES:** The goal of a settlement conference is to assist the parties in their efforts to negotiate a settlement of all or part of the dispute. Parties may, at any time, request a settlement conference before the judge assigned to their case; request another assigned judge or a pro tem to act as settlement officer; or may privately utilize the services of a retired judge. The court may also order a case to a mandatory settlement conference prior to trial before the court's assigned Settlement Conference judge.

**4) OTHER VOLUNTARY ADR:** Parties may voluntarily stipulate to private ADR options outside the court system including private binding arbitration, private early neutral evaluation or private judging at any time by completing the "Stipulation to Alternative Dispute Resolution Process" which is included in this ADR package. Parties may also utilize mediation services offered by programs that are partially funded by the county's Dispute Resolution Programs Act. These services are available at no cost or on a sliding scale based on need. For a list of approved DRPA providers, please contact the County's DRPA program office at (619) 238-2400.

**ADDITIONAL ADR INFORMATION:** For more information about the Civil Mediation Program, please contact the Civil Mediation Department at (619) 515-8908. For more information about the Judicial Arbitration Program, please contact the Arbitration Office at (619) 531-3818. For more information about Settlement Conferences, please contact the Independent Calendar department to which your case is assigned. Please note that staff can only discuss ADR options and cannot give legal advice.

6/20/08 1:30pm

**SUMMONS  
(CITACION JUDICIAL)**

SUM-100

**NOTICE TO DEFENDANT:**  
**(AVISO AL DEMANDADO):**  
Pfizer, Inc., a Delaware Corporation, and DOES 1 through 50, inclusive,

FILED  
CIVIL BUSINESS & GENERAL DIVISION  
SAN DIEGO COUNTY COURT  
08 JUN 13 PM 4:20  
SAN DIEGO COUNTY, CA

**YOU ARE BEING SUED BY PLAINTIFF:**  
**(LO ESTÁ DEMANDANDO EL DEMANDANTE):**  
 JAMES R. HUCK dba HUMAN RESOURCES INTERNATIONAL

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center ([www.courtinfo.ca.gov/selfhelp](http://www.courtinfo.ca.gov/selfhelp)), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site ([www.lawhelpcalifornia.org](http://www.lawhelpcalifornia.org)), the California Courts Online Self-Help Center ([www.courtinfo.ca.gov/selfhelp](http://www.courtinfo.ca.gov/selfhelp)), or by contacting your local court or county bar association.

Tiene 30 DÍAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularios de la corte y más información en el Centro de Ayuda de las Cortes de California ([www.courtinfo.ca.gov/selfhelp/espanol](http://www.courtinfo.ca.gov/selfhelp/espanol)), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la parte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia.

Hay otros requisitos legales. Es recomendable que llame a un abogado inmediatamente. Si no conoce a un abogado, puede llamar a un servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, ([www.lawhelpcalifornia.org](http://www.lawhelpcalifornia.org)), en el Centro de Ayuda de las Cortes de California, ([www.courtinfo.ca.gov/selfhelp/espanol](http://www.courtinfo.ca.gov/selfhelp/espanol)) o poniéndose en contacto con la corte o el colegio de abogados locales.

The name and address of the court is:

(El nombre y dirección de la corte es):

San Diego Superior Court  
330 West Broadway

San Diego, CA 92101  
Central

The name, address, and telephone number of plaintiff's attorney, or plaintiff without an attorney, is:

(El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es):

CASE NUMBER:  
Número del Caso: 37-2008-00085741-CU-BC-CITL

Thomas F. Landers (SBN 207335), L. Geoffrey Lee (234024)  
Solomon Ward Seidenwurm & Smith, LLP  
401 B Street, Suite 1200  
San Diego, CA 92101

(619) 231-4755

S. LITTLE

DATE: JUN 13 2008

Clerk, by \_\_\_\_\_, Deputy  
(Secretario) (Adjunto)

(For proof of service of this summons, use Proof of Service of Summons (form POS-010).)  
 (Para prueba de entrega de esta citación use el formulario Proof of Service of Summons, (POS-010)).

NOTICE TO THE PERSON SERVED: You are served

(SEAL)

1.  as an individual defendant.
2.  as the person sued under the fictitious name of (specify):

3.  on behalf of (specify):

under:  CCP 416.10 (corporation)  
 CCP 416.20 (defunct corporation)  
 CCP 416.40 (association or partnership)  
 other (specify):

CCP 416.60 (minor)  
 CCP 416.70 (conservatee)  
 CCP 416.90 (authorized person)

4.  by personal delivery on (date):



JUL-15-08 02:12PM FROM-FIRST LEGAL SUPPORT

T-848 P-02/02 F-804

FILED  
CIVIL BUSINESS OFFICE 5  
CENTRAL DIVISION

1 KAYE SCHOLER LLP  
 2 Aton Arbisser, Bar Number 150496  
 3 Jonathan M. Rotter, Bar Number 234137  
 4 1999 Avenue of the Stars, Suite 1700  
 5 Los Angeles, California 90067  
 6 Telephone: (310) 788-1000  
 7 Facsimile: (310) 788-1200  
 8 Email: jrotter@kayescholer.com

9 Attorneys for Defendant  
 10 PFIZER INC.

2008 JUL 15 P 1:10

CLERK-SUPERIOR COURT  
SAN DIEGO COUNTY, CACOPY  
SCHOLER  
KAYESUPERIOR COURT FOR THE STATE OF CALIFORNIA  
COUNTY OF SAN DIEGO

FAX

11 JAMES R. HUCK dba HUMAN  
12 RESOURCES INTERNATIONAL,

13 CASE NO. 37-2008-00085741-CU-BC-CTL

Plaintiff,

ANSWER

v.

15 PFIZER INC., A Delaware Corporation,  
16 DOES 1 through 50, inclusive,

Defendants.

22 Defendant Pfizer Inc. ("Defendant") hereby answers the complaint ("Complaint") filed by  
 23 Plaintiff James R. Huck, dba Human Resources International ("Plaintiff") as follows:

GENERAL DENIAL

25 Pursuant to California Code of Civil Procedure section 431.30, Defendant generally denies  
 26 each and every allegation in the Complaint. Defendant further denies that Plaintiff was damaged in  
 27 any amount by reason of any act or omission on the part of, or attributable to, Defendant.

23248813.DOC

ANSWER

KAYE SCHOLER LLP

**AFFIRMATIVE DEFENSES**

By alleging the matters set forth below, Defendant does not allege or admit that it has the burden of proof and/or the burden of persuasion with respect to any of these matters.

**FIRST AFFIRMATIVE DEFENSE****(Failure to State a Claim)**

The Complaint and each of its purported causes of action fail to state facts sufficient to constitute a cause of action.

**SECOND AFFIRMATIVE DEFENSE****(Performance)**

Defendant fully performed all of its obligations under the alleged contract at issue.

**THIRD AFFIRMATIVE DEFENSE****(Waiver and Estoppel)**

The Complaint and each of its purported causes of action are barred by the doctrines of Waiver and Estoppel.

**FOURTH AFFIRMATIVE DEFENSE****(Breach)**

Any alleged failure of Defendant to perform under the alleged agreement is excused by virtue of the prior breach of contract and failure to perform by the other party.

**FIFTH AFFIRMATIVE DEFENSE****(Termination)**

The Complaint and each of its purported causes of action are barred because Plaintiff terminated the alleged contract.

KAYE SCHOLER LLP

SIXTH AFFIRMATIVE DEFENSE

(Failure to Mitigate)

Plaintiff's claim for damages is barred or reduced by virtue of Plaintiff's failure to mitigate damages.

SEVENTH AFFIRMATIVE DEFENSE

(Set Off)

Plaintiff's claims are barred, or reduced proportionally, by the sum of money owed by Plaintiff.

EIGHTH AFFIRMATIVE DEFENSE

(Statute of Limitations)

The Complaint and each of its purported causes of action are barred because Plaintiff's claims are made outside of the applicable statute of limitations.

NINTH AFFIRMATIVE DEFENSE

(Accord and Satisfaction)

The Complaint and each of its purported causes of action are barred under the judicial doctrine of accord and satisfaction.

TENTH AFFIRMATIVE DEFENSE

(Unclean Hands)

The Complaint and each of its purported causes of action are barred under the judicial doctrine of unclean hands.

ELEVENTH AFFIRMATIVE DEFENSE

(Laches)

The Complaint and each of its purported causes of action are barred under the judicial doctrine of laches.

## **TWEVLTH AFFIRMATIVE DEFENSE**

### **(Lack of Formation)**

The Complaint and each of its purported causes of action are barred because no contract was ever formed.

## PRAYER FOR RELIEF

**WHEREFORE**, Defendant prays for the following relief:

1. That Plaintiff's Complaint be dismissed with prejudice;
  2. That Defendant be awarded its costs of suit incurred herein, including its attorneys' fees pursuant to any applicable rule, common law, or statute;
  3. For such other and further relief as the Court deems just and proper.

DATED: July 15, 2008

KAYE SCHOLER LLP

By: Aton Arbisser  
Aton Arbisser  
Attorneys for Defendant  
Pfizer Inc.

STATE OF CALIFORNIA )  
COUNTY OF LOS ANGELES ) SS.

I am employed in the County of Los Angeles, State of California. I am over the age of 18 and not a party to the within action. My business address is 1999 Avenue of the Stars, Suite 1700, Los Angeles, California 90067.

On July 15, 2008, I served the documents described as: ANSWER on the interested parties in this action by placing a true copy thereof in a sealed envelope addressed as follows:

Thomas F. Landers, SBN 207335  
L. Geoffrey Lee, SBN 234024  
Solomon Ward Seidenwurm & Smith, LLP  
401 B street, Suite 1200  
San Diego, California 92101  
Telephone: (619) 231-0303  
Telecopy: (619) 231-4755  
E-mail: tlanders@swsslaw.com  
E-mail: glee@swsslaw.com

by ELECTRONIC SERVICE SEE ATTACHED SERVICE LIST

by TELECOPY TO: SEE ATTACHED SERVICE LIST

by U.S. MAIL (I am readily familiar with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with U.S. Postal Service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing in affidavit.)

by **FEDERAL EXPRESS** (by causing such envelope to be delivered to the office of the addressee by overnight delivery via Federal Express or by other similar overnight delivery service.

by PERSONAL SERVICE

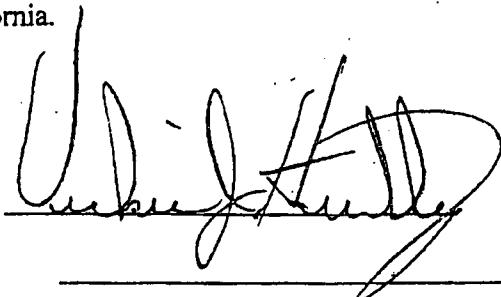
by personally delivering such envelope to the addressee.  
by causing such envelope to be delivered by messenger to the office of the addressee.

(State) I declare under penalty of perjury under the laws of the State of California that the above is true and correct.

(Federal) I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made.

Executed on July 15, 2008, at Los Angeles, California.

Vickie J. Huntley



**UNITED STATES  
DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA  
SAN DIEGO DIVISION**

**# 153078 - MB**

**July 16, 2008  
12:55:49**

**Civ Fil Non-Pris**  
USAO #: 08CV1277 CIVIL FILING  
Judge.: M. JAMES LORENZ  
Amount.: \$350.00 CK  
Check#: BC70271

**Total-> \$350.00**

**FROM: JAMES R HUCK DBA HUMAN  
RESOURCES INTL VS PFIZER, ET A**

FAXED

JS 44 (Rev. 12/07)

## CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court in the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

## I. (a) PLAINTIFFS

JAMES R. HUCK dba HUMAN RESOURCES INTERNATIONAL

(b) County of Residence of First Listed Plaintiff San Diego  
(EXCEPT IN U.S. PLAINTIFF CASES)

(c) Attorney's (Firm Name, Address, and Telephone Number)

SOLOMON WARD SEIDENWURM & SMITH, LLP  
401 B Street, Suite 1200

## II. BASIS OF JURISDICTION (Place an "X" in One Box Only)

- |  |  |
|--|--|
| <input type="checkbox"/> 1 U.S. Government Plaintiff | <input type="checkbox"/> 3 Federal Question (U.S. Government Not a Party)                        |
| <input type="checkbox"/> 2 U.S. Government Defendant | <input checked="" type="checkbox"/> 4 Diversity<br>(Indicate Citizenship of Parties in Item III) |

## DEFENDANTS

08 JUL 16 PM 12: 52

PFIZER INC, a Delaware Corporation, DOES 1 through 50,  
InclusiveCLERK, U.S. DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIACounty of Residence of First Listed Defendant NY, NY  
(IN U.S. PLAINTIFF CASES ONLY)NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE PROPERTY INVOLVED  
08 JULY 1277 L AJB

Attorneys (If Known)

KAYE SCHOLER LLP

1999 Avenue of the Stars, Suite 1700

## III. CITIZENSHIP OF PRINCIPAL PARTIES (Place an "X" in One Box for Plaintiff and One Box for Defendant)

Citizen of This State	PTF	DEF	PTF	DEF
<input checked="" type="checkbox"/>	<input type="checkbox"/> 1	<input type="checkbox"/> 1	<input type="checkbox"/> 4	<input type="checkbox"/> 4
<input type="checkbox"/>	<input type="checkbox"/> 2	<input type="checkbox"/> 2	<input type="checkbox"/> 5	<input checked="" type="checkbox"/> 5
<input type="checkbox"/>	<input type="checkbox"/> 3	<input type="checkbox"/> 3	<input type="checkbox"/> 6	<input type="checkbox"/> 6

## IV. NATURE OF SUIT (Place an "X" in One Box Only)

CONTRACT	PERSONAL TORTS	PROPERTY DAMAGE	FOREIGN RELATIONS	BANKRUPTCY	OTHER STATUTES
<input type="checkbox"/> 110 Insurance	<input type="checkbox"/> PERSONAL INJURY	<input type="checkbox"/> PERSONAL INJURY	<input type="checkbox"/> 610 Agriculture	<input type="checkbox"/> 422 Appeal 28 USC 158	<input type="checkbox"/> 400 State Reapportionment
<input type="checkbox"/> 120 Marine	<input type="checkbox"/> 310 Airplane	<input type="checkbox"/> 362 Personal Injury - Med. Malpractice	<input type="checkbox"/> 620 Other Food & Drug	<input type="checkbox"/> 423 Withdrawal 28 USC 157	<input type="checkbox"/> 410 Antitrust
<input type="checkbox"/> 130 Miller Act	<input type="checkbox"/> 315 Airplane Product Liability	<input type="checkbox"/> 365 Personal Injury - Product Liability	<input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881	<input type="checkbox"/> 430 Banks and Banking	
<input type="checkbox"/> 140 Negotiable Instrument	<input type="checkbox"/> 320 Assault, Libel & Slander	<input type="checkbox"/> 368 Asbestos Personal Injury Product Liability	<input type="checkbox"/> 630 Liquor Laws	<input type="checkbox"/> 450 Commerce	
<input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment	<input type="checkbox"/> 330 Federal Employers' Liability	<input type="checkbox"/> 370 Other Fraud	<input type="checkbox"/> 640 R.R. & Truck	<input type="checkbox"/> 460 Deportation	
<input type="checkbox"/> 151 Medicare Act	<input type="checkbox"/> 340 Marine	<input type="checkbox"/> 371 Truth in Lending	<input type="checkbox"/> 650 Airline Regs.	<input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations	
<input type="checkbox"/> 152 Recovery of Defaulted Student Loans (Excl. Veterans)	<input type="checkbox"/> 345 Marine Product Liability	<input type="checkbox"/> 380 Other Personal Property Damage	<input type="checkbox"/> 660 Occupational Safety/Health	<input type="checkbox"/> 480 Consumer Credit	
<input type="checkbox"/> 153 Recovery of Overpayment of Veteran's Benefits	<input type="checkbox"/> 350 Motor Vehicle	<input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 690 Other	<input type="checkbox"/> 490 Cable/Sat TV	
<input type="checkbox"/> 160 Stockholders' Suit	<input type="checkbox"/> 355 Motor Vehicle Product Liability	<input type="checkbox"/> 390 Other Personal Injury	<input type="checkbox"/> 710 Fair Labor Standards Act	<input type="checkbox"/> 510 Selective Service	
<input type="checkbox"/> 190 Other Contract	<input type="checkbox"/> 360 Other Personal Injury	<input type="checkbox"/> 720 Labor/Mgmt. Relations	<input type="checkbox"/> 861 HIA (1395f)	<input type="checkbox"/> 830 Securities/Commodities/ Exchange	
<input type="checkbox"/> 195 Contract Product Liability		<input type="checkbox"/> 730 Labor/Mgmt. Reporting & Disclosure Act	<input type="checkbox"/> 862 Black Lung (923)	<input type="checkbox"/> 875 Customer Challenge 12 USC 3410	
<input type="checkbox"/> 196 Franchise		<input type="checkbox"/> 740 Railway Labor Act	<input type="checkbox"/> 863 DIWC/DIW (405(g))	<input type="checkbox"/> 890 Other Statutory Actions	
<input type="checkbox"/> REAL PROPERTY	<input type="checkbox"/> CIVIL RIGHTS	<input type="checkbox"/> 750 Motions to Vacate Sentence Habeas Corpus: Accommodations	<input type="checkbox"/> 864 SSID Title XVI	<input type="checkbox"/> 891 Agricultural Acts	
<input type="checkbox"/> 210 Land Condemnation	<input type="checkbox"/> 441 Voting	<input type="checkbox"/> 755 Civil Rights	<input type="checkbox"/> 865 RSI (405(g))	<input type="checkbox"/> 892 Economic Stabilization Act	
<input type="checkbox"/> 220 Foreclosure	<input type="checkbox"/> 442 Employment	<input type="checkbox"/> 760 Other Labor Litigation	<input type="checkbox"/> 870 Taxes (U.S. Plaintiff or Defendant)	<input type="checkbox"/> 893 Environmental Matters	
<input type="checkbox"/> 230 Rent Lease & Ejectment	<input type="checkbox"/> 443 Housing/ Accommodations	<input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<input type="checkbox"/> 871 IRS - Third Party 26 USC 7609	<input type="checkbox"/> 894 Energy Allocation Act	
<input type="checkbox"/> 240 Torts to Land	<input type="checkbox"/> 444 Welfare	<input type="checkbox"/> 790 Other Labor Litigation		<input type="checkbox"/> 895 Freedom of Information Act	
<input type="checkbox"/> 245 Tort Product Liability	<input type="checkbox"/> 445 Amer. w/Disabilities - Employment	<input type="checkbox"/> 862 Naturalization Application		<input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice	
<input type="checkbox"/> 290 All Other Real Property	<input type="checkbox"/> 446 Amer. w/Disabilities - Other	<input type="checkbox"/> 463 Habeas Corpus - Alien Detainee		<input type="checkbox"/> 950 Constitutionality of State Statutes	
	<input type="checkbox"/> 440 Other Civil Rights	<input type="checkbox"/> 465 Other Immigration Actions			

## V. ORIGIN

(Place an "X" in One Box Only)

 1 Original Proceeding 2 Removed from State Court 3 Remanded from Appellate Court 4 Reinstated or Reopened 5 Transferred from another district (specify) 6 Multidistrict Litigation

Appeal to District Judge from Magistrate Judgment

Cite the U.S. Civil Statute under which you are filing (Do not cite jurisdictional statutes unless diversity):  
28 U.S.C. Section 1332, Section 1441.

## VI. CAUSE OF ACTION

Brief description of cause: Defendant is removing, on the basis of diversity jurisdiction, plaintiff's complaint alleging breach of contract and related causes of action.

## VII. REQUESTED IN COMPLAINT:

CHECK IF THIS IS A CLASS ACTION

DEMANDS

CHECK YES only if demanded in complaint:

UNDER F.R.C.P. 23

JURY DEMAND:  Yes  No

## VIII. RELATED CASE(S) IF ANY

(See instructions):

JUDGE

DOCKET NUMBER

DATE

SIGNATURE OF ATTORNEY OF RECORD

July 16, 2008John Q.

FOR OFFICE USE ONLY

RECEIPT #

153078

AMOUNT

\$350.00

APPLYING IPP

JUDGE

MAG. JUDGE

AB 07/16/08

## INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

### Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

**I.** (a) **Plaintiffs-Defendants.** Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.

(b) **County of Residence.** For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)

(c) **Attorneys.** Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".

**II.** **Jurisdiction.** The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

**III.** **Residence (citizenship) of Principal Parties.** This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.

**IV.** **Nature of Suit.** Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.

**V.** **Origin.** Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

**VI.** **Cause of Action.** Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553  
Brief Description: Unauthorized reception of cable service

**VII.** **Requested in Complaint. Class Action.** Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

**VIII.** **Related Cases.** This section of the JS 44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.